

**HONORABLE BOARD OF LEGISLATORS
THE COUNTY OF WESTCHESTER, NEW YORK**

Your Committee is in receipt of a transmittal from the County Executive recommending approval of a bond act (the “Bond Act”) in the amount of \$1,250,000 for capital project RB192 – Long Ridge Road, C.R. 3, Pound Ridge (“RB192”).

Your Committee is informed that the Bond Act, prepared by the law firm of Hawkins Delafield & Wood, LLP, will fund design, construction management and construction associated with the rehabilitation of approximately 0.84 miles of roadway from 200 feet north of Pine Brook Road to 600 feet north of Fox Hill Road and approximately 0.41 miles of roadway from Sunset Lane to the Connecticut State Line. Work will include: milling; resurfacing; concrete curb replacement; drainage repairs; new traffic loops; new pavement markings and related work.

The Department of Public Works & Transportation (the “Department”) has advised that this road, which has an annual average daily traffic count (“AADT”) of 6,653, is in need of rehabilitation to provide a safe roadway for the traveling public. The associated pavement condition index rating (“PCI”) in 2016 was 55 and the roadway has continued to deteriorate. The PCI is a pavement condition rating with a range of 0 to 100, with 0 signifying that the road requires reconstruction and 100 signifying that the road is new. Based on this rating this road requires corrective rehabilitation to extend the life of the roadway.

Upon bonding authorization, design will be scheduled and is anticipated to take twelve (12) months to complete. It is estimated that construction will take twelve (12) months to complete and will begin after award and execution of construction contracts. The design work is being completed using consultants.

The Planning Department has advised your Committee that based on its review, the above referenced capital project has been classified as a Type “II” action pursuant to the State Environmental Quality Review Act (“SEQRA”) and its implementing regulations, 6 NYCRR Part 617. Therefore, no further environmental review is required. Your Committee has reviewed the annexed SEQRA documentation and concurs with this conclusion.

Your Committee is advised that an affirmative vote of two-thirds of the members of this Honorable Board is required in order to adopt the Bond Act.

Please note that the effective date of the Bond Act will not be before January 2, 2019 and shall be subject to Section 107.71 of the Westchester County Charter.

Your Committee has carefully considered the proposed Bond Act and most respectfully recommends approval of same.

Dated: 11/5, 2018
White Plains, New York

Mary Jane Starnes
K. J. Starik
Maryanne Bar
Callie Park
Dr. J. Z. Z.

Catherine By
Margaret A. Cyio
Maryanne Bar
W. J. M.
Josephine Williams
Callie Park
Dr. J. Z. Z.

COMMITTEE ON

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Public Works

Budget & Appropriations

FISCAL IMPACT STATEMENT

CAPITAL PROJECT #: RB192

NO FISCAL IMPACT PROJECTED

SECTION A - CAPITAL BUDGET IMPACT

To Be Completed by Budget

GENERAL FUND

AIRPORT FUND

SPECIAL DISTRICTS FUND

Source of County Funds (check one):

Current Appropriations

Capital Budget Amendment

SECTION B - BONDING AUTHORIZATIONS

To Be Completed by Finance

Total Principal \$ 1,250,000 PPU 15 Anticipated Interest Rate 2.73%

Anticipated Annual Cost (Principal and Interest): \$ 101,552

Total Debt Service (Annual Cost x Term): \$ 1,523,274

Finance Department: Interest rates from 10-11-2018 bond buyer - jrf9

SECTION C - IMPACT ON OPERATING BUDGET (exclusive of debt service)

To Be Completed by Submitting Department and Reviewed by Budget

Potential Related Expenses (Annual): N/A

Potential Related Revenues (Annual): N/A

Anticipated savings to County and/or impact of department operations
(describe in detail for current and next four years):

SECTION D - EMPLOYMENT

As per federal guidelines, each \$92,000 of appropriation funds one FTE Job

Number of Full Time Equivalent (FTE) Jobs Funded: 14

SECTION E - EXPECTED DESIGN WORK PROVIDER

County Staff

Consultant

Not Applicable

Prepared by: Robert Abbamont

Title: Prog Coord (Capital Planning)

Department: Public Works/Transportation


Date: 10/19/18

Reviewed By: 

Budget Director

Date: 10/19/18

TO: Michelle Greenbaum, Assistant County Attorney
Jeff Goldman, Assistant County Attorney

FROM: David S. Kvinge, AICP, RLA, CFM 
Director of Environmental Planning

DATE: October 10, 2018

SUBJECT: **STATE ENVIRONMENTAL QUALITY REVIEW FOR CAPITAL PROJECT:
RB192 Long Ridge Road, CR 3, Pound Ridge**

PROJECT/ACTION: Per Capital Project Fact Sheet as approved by the Planning Department on 10-05-18 (Unique ID: none)

With respect to the State Environmental Quality Review Act and its implementing regulations 6 NYCRR Part 617, no further environmental review is required for the proposed action, because the project or component of the project for which funding is requested:

- DOES NOT CONSTITUTE AN "ACTION" AS DEFINED UNDER SECTION 617.2(b)**
- IS CLASSIFIED AS TYPE II PURSUANT TO SECTIONS:**
- **617.5(c)(2):** replacement, rehabilitation or reconstruction of a structure or facility, in kind, on the same site, including upgrading buildings to meet building or fire codes, unless such action meets or exceeds any of the thresholds in section 617.4 of this Part.
 - **617.5(c)(4):** repaving of existing highways not involving the addition of new travel lanes.

COMMENTS: None.

DSK/ejh

cc: Andrew Ferris, Chief of Staff
Paula Friedman, Assistant to the County Executive
Tami Altschiller, Assistant Chief Deputy County Attorney
Gideon Grande, Deputy Budget Director
Lorraine Yazzetta, Associate Budget Analyst
Norma Drummond, Commissioner
Anthony Zaino, Assistant Commissioner
Edward Hoffmeister, Secretary to the Capital Projects Committee
William Brady, Chief Planner
Claudia Maxwell, Associate Environmental Planner

CAPITAL PROJECT FACT SHEET

Project: RB192 Long Ridge Road, CR 3, Pound Ridge

Date Submitted: 10/4/18

Category: Roads & Bridges

Department: Public Works/Transportation

Legislative District: 2

Overall Project Description: This project will fund the rehabilitation of approximately 0.84 miles of roadway from 200 feet north of Pine Brook Road to 600 feet north of Fox Hill Road and approximately 0.41 miles of roadway from Sunset Lane to the Connecticut State Line. The work includes milling; resurfacing; concrete curb replacement; drainage repairs; new traffic loops; new pavement markings and related work.

Best Management Practices
 Energy Efficiencies
 Infrastructure
 Life Safety
 Project Labor Agreement
 Revenue
 Security
 Other

FIVE-YEAR CAPITAL PROGRAM (in thousands)

	Estimated Ultimate Total Cost	Prior Appropriation	2019	2020	2021	2022	2023	Under Review
Gross	1,250	0	1,250	0	0	0	0	0
Less Non-County Shares	0	0	0	0	0	0	0	0
Net	1,250	0	1,250	0	0	0	0	0

Expended/Obligated Amount (in thousands) as of 10/15/18: \$0

Current Bond Request / Description: Funding is requested for design, construction management and construction associated with the rehabilitation of approximately 0.84 miles of roadway from 200 feet north of Pine Brook Road to 600 feet north of Fox Hill Road and approximately 0.41 miles of roadway from Sunset Lane to the Connecticut State Line. The work includes milling; resurfacing; concrete curb replacement; drainage repairs; new traffic loops; new pavement markings and related work.

Financing Plan for Current Request:

Non-County Shares:	\$	0
Bonds/Notes:		1,250,000
Cash:		0
Total:	\$	1,250,000

Bond Amount Requested: \$1,250,000 **PPU:** 15 **SEOR Classification:** Type II

Comments: Please note that the effective date of the associated bond act shall not be before January 2, 2019 and shall be in accordance with Section 107.71 of the Westchester County Charter.

PCI: 55 (2016); AADT: 6,653

Energy Efficiencies: N/A

Appropriation History (in thousands):

2019	<u>\$ 1,250</u>	Design, construction and construction management
	\$ 1,250	

Financing History (in thousands):

None

Recommended By:

Lorraine Yazzetta (gkga)	10/10/18	Robert Abbamont	10/9/18
Budget Department	Date	Department of Public Works and Transportation	Date
William Brady	10/5/18	Robert Abbamont	10/10/18
Department of Planning	Date	Requesting Department	Date

ACT NO. - 20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,250,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ROADWAY REHABILITATION OF LONG RIDGE ROAD (CR 3), IN THE TOWN OF POUND RIDGE; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,250,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,250,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted , 20__)

BE IT ENACTED BY THE COUNTY BOARD OF LEGISLATORS OF THE COUNTY OF WESTCHESTER, NEW YORK (by the affirmative vote of not less than two-thirds of the voting strength of said Board), AS FOLLOWS:

Section 1. Pursuant to the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (the "Law"), the Westchester County Administrative Code, being Chapter 852 of the Laws of 1948, as amended, and to the provisions of other laws applicable thereto, \$1,250,000 bonds of the County, or so much thereof as may be necessary, are hereby authorized to be issued to finance the design, construction management and construction for the rehabilitation of approximately 0.85 miles of Long Ridge Road (CR 3) from 200 feet north of Pine Brook Road to 600 feet north of Fox Hill Road and approximately 0.41 miles of roadway from Sunset Lane to the Connecticut State Line, also including milling; resurfacing; concrete curb replacement; drainage repairs; new traffic loops; new pavement markings and related work; all as set forth in the County's 2019 Capital Budget, as amended. To the extent that the details set forth in this act are inconsistent with any details set forth

in the 2019 Capital Budget of the County, such Budget shall be deemed and is hereby amended. The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and the financing thereof is \$1,250,000. The plan of financing includes the issuance of \$1,250,000 bonds herein authorized and any bond anticipation notes issued in anticipation of the sale of such bonds, and the levy of a tax to pay the principal of and interest on said bonds.

Section 2. The period of probable usefulness of said specific object or purpose, within the limitations of Section 11.00 a. 20(c) of the Law, is fifteen (15) years.

Section 3. Current funds are not required to be provided as a down payment pursuant to Section 107.00 d. 9. of the Law prior to issuance of the bonds authorized herein, or any bond anticipation notes issued in anticipation of the sale of such bonds. The County intends to finance, on an interim basis, the costs or a portion of the costs of said improvements for which bonds are herein authorized, which costs are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Act, in the maximum amount of \$1,250,000. This Act is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 4. The estimate of \$1,250,000 as the estimated total cost of the aforesaid object or purpose is hereby approved, and as the amount of bonds herein authorized is not in excess of \$10,000,000, this Act is not subject to referendum.

Section 5. Subject to the provisions of this Act and of the Law, and pursuant to the provisions of §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Board of Legislators relative to authorizing the issuance of any notes in anticipation

of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Act and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by §52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Westchester, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Act and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Act or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity, is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This Act shall take effect on January 2, 2019 and in accordance with Section 107.71 of the Westchester County Charter.

* * *

STATE OF NEW YORK)
 : ss.:
COUNTY OF WESTCHESTER)

I HEREBY CERTIFY that I have compared the foregoing Act No. -20__ with the original on file in my office, and that the same is a correct transcript therefrom and of the whole of the said original Act, which was duly adopted by the County Board of Legislators of the County of Westchester on _____, 20__ and approved by the County Executive on _____, 20__.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County Board of Legislators this _____ day of _____, 20__.

(SEAL)

The Clerk and Chief Administrative Officer of the
County Board of Legislators
County of Westchester, New York

LEGAL NOTICE

A Bond Act, a summary of which is published herewith, has been adopted by the Board of Legislators on _____, 20__ and approved by the County Executive on _____, 20__ and the validity of the obligations authorized by such Bond Act may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Westchester, in the State of New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Complete copies of the Bond Act summarized herewith shall be available for public inspection during normal business hours at the Office of the Clerk of the Board of Legislators of the County of Westchester, New York, for a period of twenty days from the date of publication of this Notice.

ACT NO. _____-20__

BOND ACT AUTHORIZING THE ISSUANCE OF \$1,250,000 BONDS OF THE COUNTY OF WESTCHESTER, OR SO MUCH THEREOF AS MAY BE NECESSARY, TO FINANCE THE COST OF ROADWAY REHABILITATION OF LONG RIDGE ROAD (CR 3), IN THE TOWN OF POUND RIDGE; STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$1,250,000; STATING THE PLAN OF FINANCING SAID COST INCLUDES THE ISSUANCE OF \$1,250,000 BONDS HEREIN AUTHORIZED; AND PROVIDING FOR A TAX TO PAY THE PRINCIPAL OF AND INTEREST ON SAID BONDS. (Adopted _____, 20__)

object or purpose: to finance the design, construction management and construction for the rehabilitation of approximately 0.85 miles of Long Ridge Road (CR 3) from 200 feet north of Pine Brook Road to 600 feet north of Fox Hill Road and approximately 0.41 miles of roadway from Sunset Lane to the Connecticut State Line, also including milling; resurfacing; concrete curb replacement; drainage repairs; new traffic loops; new pavement markings and related work; all as set forth in the County's 2019 Capital Budget, as amended

amount of obligations to be issued
and period of probable usefulness: \$1,250,000; fifteen (15) years

Dated: _____, 20__
White Plains, New York

Clerk and Chief Administrative Officer of the County Board of
Legislators of the County of Westchester, New York

LONG RIDGE ROAD, CR 3, POUND RIDGE (RB192)

User Department : Public Works

Managing Department(s) : Public Works ;

Estimated Completion Date: TBD

Planning Board Recommendation: Project approved in concept but subject to subsequent staff review.

FIVE YEAR CAPITAL PROGRAM (in thousands)

	Est Ult Cost	Appropriated	Exp / Obl	2019	2020	2021	2022	2023	Under Review
Gross	1,250			1,250					
Non County Share									
Total	1,250			1,250					

Project Description

This project will fund the rehabilitation of approximately 0.84 miles of roadway from 200 feet north of Pine Brook Road to 600 feet north of Fox Hill Road and approximately 0.41 miles of roadway from Sunset Lane to the Connecticut State Line. The work includes milling; resurfacing; concrete curb replacement; drainage repairs; new traffic loops; new pavement markings and related work.

Current Year Description

The current year request funds design, construction and construction management.

Current Year Financing Plan

Year	Bonds	Cash	Non County Shares	Total
2019	1,250,000			1,250,000

Impact on Operating Budget

The impact on the Operating Budget is the debt service associated with the issuance of bonds.